

Counterfeit Avoidance Statement

Definitions taken from the SAE AS5553 Standard:

Suspect Part

A part for which there is objective and credible evidence indicating that the part is likely a Counterfeit Part.

Counterfeit Part

- (1) An unauthorized copy, imitation, substitute, or modified part, which is knowingly misrepresented as a specified genuine part of the manufacturer.
- (2) Or a previously used EEE Part which has been modified and is knowingly misrepresented as new without disclosure to the customer that it has been previously used.

NOTE: Examples of a counterfeit part can include, but are not limited to; the false identification of grade, serial number, date code or performance characteristics.

Definition of Counterfeit Materiel taken from the MoD DEF STAN 05-135:

Materiel whose origin, age, composition, configuration, certification status or other characteristic (including whether or not the materiel has been used previously) has been falsely represented by:

- a) misleading marking of the materiel, labelling or packaging;
- b) misleading documentation; or
- c) any other means, including failing to disclose information;

except where it has been demonstrated that the misrepresentation was not the result of dishonesty by a supplier or sub-supplier within the supply chain.

Note: Regardless of the governing law of the supply contract, the term "origin" is to be accorded the same meaning as the equivalent term found in European Directive 2008/95/EC (the "Trade Marks" Directive), and the terms "falsely represented", "misleading", "failing to disclose information" and "dishonesty" are to be accorded the same meaning as the equivalent terms found in the United Kingdom's Fraud Act 2006.

NOTE:

Counterfeit avoidance must be maintained on all materiel that includes EEE (Electrical, Electronic, Electro-Mechanical), Mechanical parts as well as Raw Materials.

General Dynamics Mission Systems Limited (GD-MS) has a pro-active CFSI (Counterfeit Fraudulent & Suspect Items) avoidance policy and process that is based upon SAE AS5553 and the IEC/TS 62668 standards. Other documents have been used as references and are shown in the "Applicable Standards and Specifications" section. GD-MS has an internal Counterfeit Avoidance Team (CAT) that pro-actively investigates the latest counterfeits issues on a regular basis.

GD-MS is also a member of industry recognised counterfeit avoidance committees including the MoD CAWG (Ministry of Defence Counterfeit Avoidance Working Group), SAE G19 and provides support to the Anti-Counterfeiting Forum website: www.anticounterfeitingforum.org.uk

GD-MS procures parts from approved Original Component Manufacturers (OCM), Original Equipment Manufacturers (OEM) and approved franchised distributors that are contracted to supply the parts used in the company's products to the timescales required.

Where the supplier notifies the GD-MS Supply Chain that parts to be ordered are obsolete from their approved sources, all data provided by the supplier shall be submitted to the internal Component Engineering department for verification.

If the part is still available from an alternative source, Component Engineering shall provide this information to Supply Chain to re-source the part.

If a part is identified as being obsolete and not available from the OCMs, OEMs or franchised distributors and only available from non-franchised distributor sources, then only GD-MS approved non-franchised distributors shall be used. These GD-MS approved non-franchised distributors have been audited by the Counterfeit Avoidance Team and have verified that they will only supply parts from known good sources with all part checking procedures in place and correct traceability documentation.

Any part that has been identified as having suspect documentation or missing traceability information shall be submitted to Component Engineering for evaluation with all data provided by the supplier. Component Engineering will check the information provided for any known counterfeit parts being available on the open market. If the parts could be suspect, fraudulent or counterfeit, Component Engineering will request photographs/sample of the actual stock and specific marking information from the supplier.

The supplier will be asked to re-validate the source of the parts to ensure that they are genuine. Component Engineering will then contact the OCM/OEM with the information provided by the supplier to validate that the stock is a genuine part.

If Component Engineering is not satisfied that the stock is 100% genuine then Supply Chain will be instructed not to procure the stock.

All parts are checked when they are received by GD-MS at Goods Inward Inspection (GII) and rejected when found to be suspect, fraudulent or counterfeit.

Further information on GD-MS counterfeit avoidance process is documented in the GD-MS reference documents:

[PR089](#) : Component Engineering : Section 3

[G00413](#) : Counterfeit Parts

[D0333](#) : Counterfeit Alert Notice :: [M0266](#) : Associated Map

[D0995](#) : Internal GDUK Counterfeit Part Avoidance :: [M0470](#) : Counterfeit Alert Notice

[D0827](#) : Suspected Counterfeit Part Testing Process :: [M0644](#) : Suspected Counterfeit Part Testing

[G00536](#) : Suspected Counterfeit External Test Requirements

[D1467](#): Assessment on Non Franchised Distributors :: [M0649](#) : Assessment of Non Franchised Distributors

[D1468](#) : Counterfeit Avoidance with Sub-Contractors :: [M0650](#) : Counterfeit Avoidance with Sub Contractors

[F00538](#) : Counterfeit Avoidance with Sub-Contractor Questionnaire

[F00540](#) : Assessment on Non Franchised Distributor Questionnaire

[G00518](#) : Counterfeit Avoidance with Sub-Contractors

Applicable Standards and Specifications

- **SAE AS9100** : Quality Management Systems - Requirements for Aviation, Space and Defense Organizations
- **SAE AS5553** : Counterfeit Electrical, Electronic, and Electromechanical (EEE) Parts; Avoidance, Detection, Mitigation, and Disposition
- **SAE ARP6328** : Guideline for Development of Counterfeit Electronic Parts; Avoidance, Detection, Mitigation, and Disposition Systems
- **SAE AS6081** : Counterfeit Electronic Parts; Avoidance Protocol, Distributors
- **SAE AS6171** : Test Methods Standard; Counterfeit Electronic Parts
- **SAE AS6174A** : Counterfeit Materiel; Assuring Acquisition of Authentic and Conforming Materiel

- **SAE AS6301** : Fraudulent /Counterfeit Electronic Parts : Avoidance, Detection, Mitigation, and Disposition - Distributors Verification Criteria
- **SAE AS6462** : AS5553 Counterfeit Electronic Parts; Avoidance, Detection, Mitigation, and Disposition Verification Criteria
- **SAE AS6496** : Fraudulent /Counterfeit Electronic Parts : Avoidance, Detection, Mitigation, and Disposition - Authorized/Franchised Distribution
- **SAE ARP6178** : Fraudulent/Counterfeit Electronic Parts; Tool for Risk Assessment of Distributors
- **IEC/TS 62668-1** : Process management for avionics - Counterfeit prevention - Part 1: Avoiding the use of counterfeit, fraudulent and recycled electronic components
- **IEC/TS 62668-2** : Managing electronic components from non-franchised sources
- **IDEA-STD-1010** : Acceptability of Electronic Components Distributed in the Open Market
- **MoD AOF** : Acquisition Operating Framework / Managing Quality / Avoidance of Fraudulent and Counterfeit Material
- **DEF STAN 05-135** : Avoidance of Counterfeit Materiel
- **MoD Counterfeit Avoidance Maturity Model**
- **ISO 16678** : Guidelines for interoperable object identification and related authentication systems to deter counterfeiting and illicit trade

Note: Latest revisions must be used.